### 111TH CONGRESS 1ST SESSION

# H. R. 2691

To provide assistance to adolescents and young adults with serious mental health disorders as they transition to adulthood.

### IN THE HOUSE OF REPRESENTATIVES

June 3, 2009

Mr. Stark (for himself, Mr. Camp, and Mrs. Bono Mack) introduced the following bill; which was referred to the Committee on Energy and Commerce

## A BILL

To provide assistance to adolescents and young adults with serious mental health disorders as they transition to adulthood.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE.
- 4 This Act may be cited as the "Healthy Transition
- 5 Act of 2009".
- 6 SEC. 2. FINDINGS.
- 7 The Congress finds the following:
- 8 (1) According to a June 2008 Government Ac-
- 9 countability Office (GAO) report to Congress there
- were at least 2,400,000 young adults aged 18 to 26

1	with a serious mental illness (SMI) in 2006, and an
2	additional 9.3 million who experienced mild or mod-
3	erate mental illness. GAO also found that in 2006—
4	(A) 46 percent to 63 percent of homeless
5	young adults experienced mental health prob-
6	lems;
7	(B) 63 percent to 71 percent of incarcer-
8	ated young adults experienced mental health
9	problems; and
10	(C) close to 32 percent of young adults
11	with SMI had a co-occurring diagnosis of alco-
12	hol or drug abuse or dependence along with at
13	least one other mental disorder.
14	(2) A July 2008 American Psychological Asso-
15	ciation (APA) report found that more than 60 per-
16	cent of transition youth with SMI do not complete
17	high school, leaving many of these young adults un-
18	employed, unable to benefit from continuing edu-
19	cation (32 percent rate of postsecondary continu-
20	ation versus 51 percent for youth without mental ill-
21	ness), and without the skills needed to live independ-
22	ently.
23	(3) The 2003 President's New Freedom Com-

mission on Mental Health found that only 1 in 3

- persons with a disability resulting from mental illness is employed—
- 3 (A) about 186,000 young adults with SMI
  4 received disability benefits in 2006 because
  5 their illness was severe enough to prevent sus6 tainable employment; and
  - (B) youth centers often lack the expertise to find employment for young adults because they do not generally have the capacity to suit individual mental health needs.
  - (4) The Substance Abuse and Mental Health Services Administration (SAMHSA) recommends supportive housing—which would include job training and mental health services—to young adults with SMI. State officials, however, cite a lack of availability of such housing and the Department of Housing and Urban Development (HUD) reports that the median age of HUD supportive housing recipients is 47 years old.
  - (5) During the transition to adulthood, youth with SMI are also at risk of losing free or low-cost services they received as children, but may not qualify for as adults. The difference in eligibility criteria between child and adult benefits from the Social Security Administration (SSA) can result in a loss of

1	benefits during the redetermination stage at age 18
2	For example, Medicaid income requirements are
3	more stringent for an adult, even though an appli-
4	cant may have received benefits as a child.
5	(6) GAO found critical gaps in mental health
6	and housing services for foster youth—
7	(A) the report found that States were serv-
8	ing less than half of their eligible foster care
9	population through existing programs such as
10	Chafee Foster Care Independence and Med-
11	icaid; and
12	(B) a separate national survey from 2006
13	found that foster youth were 4 times more like-
14	ly to have attempted suicide in the preceding
15	year when compared to those never placed in
16	foster care.
17	(7) Public service provision for young adults
18	with SMI is fragmented and these individuals can
19	struggle to locate services that aid in their transition
20	to adulthood—
21	(A) GAO found that there are currently no
22	Federal programs to specifically target this
23	population;
24	(B) directors of programs providing serv-
25	ices to youth aged 14 to 21 have difficulty find-

ing adequate age-appropriate mental health
services for their clients partly due to lack of
proper training; and

(C) group therapy in mental health services is often not age appropriate and as a result, SAMHSA reported in 2007 that young
adults with SMI have the lowest "help-seeking
behavior" of any age group.

### 9 SEC. 3. HEALTHY TRANSITIONING FOR YOUTH.

Subpart 3 of part B of title V of the Public Health Service Act (42 U.S.C. 290bb-31 et seq.) is amended by adding at the end the following:

### 13 "SEC. 520K. HEALTHY TRANSITIONING FOR YOUTH.

14 "(a) Planning Grants.—

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"(1) IN GENERAL.—The Secretary, in consultation with the agencies described in subsection (c)(3), shall award grants or cooperative agreements to States to develop plans for the statewide coordination of services to assist adolescents and young adults with a serious mental health disorder in acquiring the skills, knowledge, and resources necessary to ensure their healthy transition to successful adult roles and responsibilities.

"(2) APPLICATION.—To be eligible for a grant or cooperative agreement under this subsection, a

1	State shall submit to the Secretary an application,
2	at such time, in such manner, and containing such
3	information as the Secretary may require.
4	"(3) Plan.—Not later than 18 months after
5	the receipt of a grant or cooperative agreement
6	under this subsection, a State shall submit to the
7	Secretary a State plan that shall include—
8	"(A) reliable estimates on the number of
9	adolescents and young adults with serious men-
10	tal health disorders in the State;
11	"(B) information on the youth targeted
12	under this Act, including—
13	"(i) the number of adolescents and
14	young adults with serious mental health
15	disorders in the State and the number of
16	such individuals who are currently being
17	served in the State;
18	"(ii) the number of such individuals
19	who are receiving mental health services
20	provided by State agencies other than the
21	agency responsible for mental health serv-
22	ices in the State;
23	"(iii) the number of youth with seri-
24	ous mental health disorders who are in-

1	volved in the juvenile justice system in the
2	State;
3	"(iv) the number of youth with seri-
4	ous mental health disorders who are in-
5	volved in the child protection system in the
6	State;
7	"(v) the number of youth with serious
8	mental health disorders who have plans in
9	effect under the Individuals with Disabil-
10	ities Education Act in the State;
11	"(vi) the number of youth with seri-
12	ous mental health disorders who are in-
13	volved in vocational rehabilitation in the
14	State;
15	"(vii) the range of ages served by the
16	programs described in clauses (i) through
17	(vi); and
18	"(viii) a description of the overall
19	transition coordination that is currently
20	provided by the State or local authorities
21	and programs in the State;
22	"(C) an identification of the skills, knowl-
23	edge, and resources that adolescents and young
24	adults with serious mental health disorders in
25	the State will need to ensure their successful

and healthy transition into adult roles and responsibilities;

"(D) an identification of the obstacles that adolescents and young adults with serious mental health disorders in the State encounter while transitioning into adult roles and responsibilities, including breaks in service or programs caused by eligibility and program criteria differences between the child and adult mental health systems, the lack of culturally and linguistically appropriate mental and behavioral health and transition services, and the lack of local access to mental health and transition services;

"(E) an identification of the current level, type, quality, effectiveness, and availability of services, including evidence-based practices, available in the State that are uniquely designed for adolescents and young adults with a serious mental health disorder to ensure a healthy transition to successful adult roles and responsibilities;

"(F) an identification of adolescents and young adults with a serious emotional disorder who have a low likelihood of a healthy and suc-

1	cessful transition due to the severity of their ill-
2	ness, and an identification of how the State will
3	provide treatment and other support services to
4	this population;
5	"(G) an analyses of the strengths, weak-
6	nesses, and gaps of the current system in the
7	State, including the availability of lack of men-
8	tal and behavioral health professionals trained
9	to treat adolescents and young adults with a se-
10	rious mental health disorder, as well as bar-
11	riers, to address the needs of adolescents and
12	young adults with a serious mental health dis-
13	order with an appropriate array of effective
14	services and supports;
15	"(H) a description of how the State will
16	improve the system of care to ensure successful
17	and healthy transitions;
18	"(I) a description of how the State will en-
19	sure that services and systems of care are cul-
20	turally and linguistically competent;
21	"(J) a description of how the State will co-
22	ordinate the services of State and non-State
23	agencies that serve adolescents and young

adults with a serious mental health disorder;

"(K) a description of how the State will provide a system of coordinated service delivery under the grant or cooperative agreement that will address the effective services, supports, and unique needs of adolescents and young adults with a serious mental disorder, including those who have been placed in out of home settings such as the juvenile justice system or those who are or were involved in the child protection systems;

"(L) a description of how the State will coordinate efforts under the grant or cooperative
agreement with existing services and systems in
the State that focus on life skills necessary for
a healthy transition including health, employment and pre-employment training, transportation, housing, recreation, mental health services, substance use, vocational rehabilitation
services for persons with disabilities, and training for adolescents, young adults and adults,
consumers and their families;

"(M) a description of how the State will work to build workforce capacity to serve the population described in subparagraph (J);

1	"(N) a description of how the State will
2	reach out to the target population pre-transi-
3	tion, during transition, and post-transition;
4	"(O) a description of how the State is cur-
5	rently utilizing and leveraging (and how the
6	State will use and leverage) Federal funding
7	streams to care for the target population, in-
8	cluding funding through Medicaid, the Depart-
9	ment of Housing and Urban Development, the
10	Department of Labor though supported employ-
11	ment, the Early and Periodic Screening, Diag-
12	nosis, and Treatment Program, and other pro-
13	grams, and including an outline of the barriers
14	the State faces in making Federal funding flow
15	to the targeted population in a coordinated
16	manner;
17	"(P) a description of how the State will in-
18	volve adolescents and young adults with serious
19	mental health disorders and their families and
20	guardians in the service design, planning, and
21	implementation of the plan under the grant or
22	cooperative agreement;
23	"(Q) an implementation subplan that shall
24	be designed to recognize the challenges of im-
25	plementing a program between communities at

1	a statewide level and how the State will over-
2	come those challenges;
3	"(R) a description of how the State plans
4	to evaluate outcomes under the program funded
5	under the grant or cooperative agreement;
6	"(S) a designation of the State office that
7	will be the lead agency responsible for admin-
8	istering the program under the grant or cooper-
9	ative agreement;
10	"(T) a description of how the State will en-
11	sure that the activities planned under the grant
12	or cooperative agreement will remain sustain-
13	able at the end of the cycle of Federal funding
14	under this section; and
15	"(U) any other information determined ap-
16	propriate by the Secretary.
17	"(4) Duration of support.—The duration of
18	a grant or cooperative agreement under this sub-
19	section shall be at least 1 fiscal year, but shall not
20	exceed 2 fiscal years.
21	"(5) TECHNICAL ASSISTANCE.—The Secretary
22	shall provide technical assistance and training in the
23	development of the plan under paragraph (3), in-
24	cluding convening a meeting of potential applicants

1 for grants or cooperative agreement under this sub-2 section. 3 "(6) AUTHORIZATION OF APPROPRIATIONS.— "(A) IN GENERAL.—There is authorized to 4 be appropriated to carry out this subsection, 6 \$4,500,000 for fiscal year 2011, and such sums 7 as may be necessary for each of fiscal years 8 2012 through 2015. 9 "(B) TECHNICAL ASSISTANCE.—The Sec-10 retary shall make available up to 15 percent of 11 the amount appropriated under subparagraph 12 (A), or \$1,000,000, whichever is greater, in 13 each fiscal year for technical assistance under 14 paragraph (5). 15 "(b) Implementation Grants.— "(1) IN GENERAL.—The Secretary shall award 16 17 grants or cooperative agreement to eligible States 18 for the coordination of services to assist adolescents 19 and young adults with serious mental health dis-20 orders in acquiring the services, skills, and knowl-21 edge necessary to ensure their healthy transition to 22 successful adult roles and responsibilities. 23 "(2) Eligibility.—To be eligible for a grant 24 or cooperative agreement under paragraph (1), a

State shall—

1	"(A) be a State that has received a grant
2	or cooperative agreement under subsection (a)
3	and submitted a plan that meets the require-
4	ments of paragraph (3) of such subsection; or
5	"(B) be a State that has not received such
6	a grant or cooperative agreement but that has
7	a plan that is equivalent to the plan required
8	under subsection (a)(3).
9	"(3) APPLICATION.—To be eligible for a grant
10	or cooperative agreement under this subsection, a
11	State shall submit to the Secretary an application,
12	at such time, in such manner, and containing such
13	information as the Secretary requires, including—
14	"(A) a copy of the plan submitted under
15	subsection (a)(3), or in the case of a State de-
16	scribed in paragraph (2)(B), a plan that is
17	equivalent to the plan required under subsection
18	(a)(3);
19	"(B) a list of the State agencies that will
20	participate in the program to be funded under
21	the grant or cooperative agreement along with
22	written verification as to the commitment of
23	such agencies to the program;
24	"(C) an assurance that the State will de-
25	velop a coordinating committee composed of

1	representatives of the participating State agen-
2	cies, as well as consumers and families of con-
3	sumers;
4	"(D) a description of the role of such co-
5	ordinating committee; and
6	"(E) the names of at least two local com-
7	munities that will implement the program at
8	the local level and how those communities will
9	implement the State plan.
10	"(4) Use of funds.—Funds provided under a
11	grant or cooperative agreement under this sub-
12	section shall be used to implement the State plan,
13	including—
14	"(A) facilitating a youth ombudsman or
15	other advocacy program;
16	"(B) facilitating peer support programs
17	and networks within the State;
18	"(C) facilitating access to independent liv-
19	ing and life skills supports;
20	"(D) developing infrastructure to support
21	access to necessary health, mental health, em-
22	ployment, education, and housing supports; and
23	"(E) facilitating the training of support
24	providers and workforce capacity to serve the
25	target population.

1	"(5) Duration of support.—The duration of
2	a grant or cooperative agreement under this sub-
3	section shall not exceed 5 fiscal years.
4	"(6) Matching requirement.—
5	"(A) In general.—To be eligible for a
6	grant or cooperative agreement under this sub-
7	section, the State shall agree that, with respect
8	to the costs to be incurred by the State in car-
9	rying out activities under the grant or coopera-
10	tive agreement, the State will make available
11	(directly or through donations from public or
12	private entities) non-Federal contributions to-
13	ward such costs in an amount that—
14	"(i) for the first fiscal year for which
15	the State receives payments under the
16	grant or cooperative agreement, is not less
17	than \$1 for each \$3 of Federal funds pro-
18	vided under the grant or cooperative agree-
19	ment;
20	"(ii) for any second or third such fis-
21	cal year, is not less than \$1 for each \$2 of
22	Federal funds provided under the grant or
23	cooperative agreement;
24	"(iii) for any fourth such fiscal year,
25	is not less than \$1 for each \$1 of Federal

1	funds provided under the grant or coopera-
2	tive agreement; and
3	"(iv) for any fifth such fiscal year, is
4	not less than \$2 for each \$1 of Federal
5	funds provided under the grant or coopera-
6	tive agreement.
7	"(B) DETERMINATION OF AMOUNT CON-
8	TRIBUTED.—
9	"(i) In general.—Non-Federal con-
10	tributions required under subparagraph
11	(A) may be in cash or in kind, fairly evalu-
12	ated, including plant, equipment, or serv-
13	ices. Amounts provided by the Federal
14	Government, or services assisted or sub-
15	sidized to any significant extent by the
16	Federal Government, may not be included
17	in determining the amount of such non-
18	Federal contributions.
19	"(ii) Non-federal contribu-
20	TIONS.—In making a determination of the
21	amount of non-Federal contributions for
22	purposes of clause (i), the Secretary may
23	include only non-Federal contributions in
24	excess of the average amount of non-Fed-
25	eral contributions made by the State in-

1	volved toward the purpose of the grant or
2	cooperative agreement under this sub-
3	section for the 2-year period preceding the
4	first fiscal year for which the State re-
5	ceives a grant or cooperative agreement
6	under such subsection.
7	"(7) TECHNICAL ASSISTANCE.—The Secretary
8	shall provide technical assistance and training to re-
9	cipients of grants or cooperative agreements under
10	this subsection, including convening meetings each
11	year to identify ways of improving State programs.
12	Such meetings shall include the members of the
13	Federal Partners Committee under subsection (c).
14	"(8) Evaluation.—The Secretary shall carry
15	out a cross-site evaluation that—
16	"(A) reports on current State efforts to
17	transition the population involved prior to the
18	implementation of the State plans under this
19	section; and
20	"(B) evaluates the program carried out by
21	the State under this section to determine the ef-
22	fectiveness of such program in meeting its goals
23	and objectives as compared with current ap-
24	proaches.
25	"(9) Authorization of appropriations.—

"(A) IN GENERAL.—There is authorized to 1 2 be appropriated to carry out this subsection, 3 \$6,000,000 for each of fiscal years 2011 and 4 2012, \$15,000,000 for fiscal year 2013, 5 \$20,000,000 for fiscal vear 2014, and 6 \$25,000,000 for fiscal year 2015.

> "(B) TECHNICAL ASSISTANCE AND EVAL-UATION.—The Secretary shall make available up to 15 percent of the amount appropriated under subparagraph (A), or \$2,000,000 whichever is greater, in each fiscal year for technical assistance under paragraph (7) and the evaluation under paragraph (8).

### "(c) Federal Partners.—

"(1) IN GENERAL.—The Secretary shall designate an existing Federal entity, or establish a Committee of Federal Partners, to coordinate service programs to assist adolescents and young adults with serious mental health disorders in acquiring the knowledge and skills necessary for them to transition into adult roles and responsibilities.

"(2) Existing federal entity.—If the Secretary elects to utilize an existing Federal entity under paragraph (1), the Secretary shall ensure that—

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1	"(A) such entity is comprised of represent-
2	atives of at least the agencies described in para-
3	graph (3); and
4	"(B) such entity shall give special atten-
5	tion to the knowledge and skills needed by ado-
6	lescents and young adults with mental health
7	disorders in coordinating the programs funded
8	under this section.
9	"(3) Membership.—A Federal entity utilized
10	under this subsection, or a committee established
11	under paragraph (1), shall include representatives
12	of—
13	"(A) the Department of Education (or any
14	subagency of the Department);
15	"(B) the Department of Health and
16	Human Services (or any subagency of the De-
17	partment);
18	"(C) the Department of Labor (or any
19	subagency of the Department);
20	"(D) the Department of Transportation
21	(or any subagency of the Department);
22	"(E) the Department of Housing and
23	Urban Development (or any subagency of the
24	Department);

1	"(F) the Department of Interior (or any
2	subagency of the Department);
3	"(G) the Department of Justice (or any
4	subagency of the Department);
5	"(H) the Social Security Administration;
6	"(I) an organization representing con-
7	sumers and families of consumers as designated
8	by the Secretary; and
9	"(J) an organization representing mental
10	health and behavioral health professionals as
11	designated by the Secretary.
12	"(4) Role of entity or committee.—The
13	Federal entity or committee designated or estab-
14	lished under paragraph (1) shall review how Federal
15	programs and efforts that address issues related to
16	the transition of adolescents and young adults with
17	serious mental health disorders may be coordinated
18	to ensure the maximum benefit for the individuals
19	being served and to provide technical assistance to
20	the States who are planning or implementing pro-
21	grams under this section.
22	"(5) Report.—Not later than 18 months after
23	the date of enactment of this Act, the Federal entity
24	or committee designated or established under para-
25	graph (1) shall submit to the appropriate commit-

tees of Congress, and make available to the general public, a report concerning the participation of Federal agencies and stakeholders in the planning and operations of the entity or committee. Such report shall also contain a description of the status of the efforts of such entity or committee in coordinating Federal efforts on behalf of the target population.

"(6) AUTHORIZATION OF APPROPRIATIONS.—
There are authorized to be appropriated to carry out this subsection, \$1,000,000 for fiscal year 2011, and such sums as may be necessary for each of fiscal years 2012 through 2015.

"(d) DEFINITION.—In this section, the term 'serious mental health disorder' has the meaning given the term 'serious mental illness' by the Administrator for purposes of this title.".

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